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PATENT  
Attorney Docket No.: ECD-0004CIP  
Customer No.: 29344

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): John J. Hart, III, *et al.*  
Serial No.: 10/773,095  
Filing Date: February 5, 2004  
Title: SYSTEMS AND METHODS FOR OPTICAL MEDIA MODIFICATION

Examiner: Not Yet Assigned  
Group Art Unit: 2655

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Post Office as First Class Mail on the date indicated below in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

10-6-04

Date

Vanessa Marakas  
Vanessa Marakas

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Sir:

Enclosed herewith for filing in the above-identified patent application please find the following listed items:

1. Supplemental Information Disclosure Statement;
2. Forms PTO-1449 and copies of cited references AA-AD and BM-BP; and
3. Return Postcard.

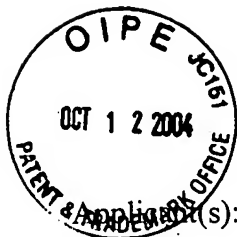
In connection with the foregoing matter, please charge any additional fees which may be due, or credit any overpayment, to Deposit Account Number 50-1798. A duplicate copy of this letter is provided for this purpose.

Respectfully submitted,

Date: October 6, 2004  
Mills & Onello, LLP  
Eleven Beacon Street, Suite 605  
Boston, Massachusetts 02108  
Telephone: (617) 994-4900  
Facsimile: (617) 742-7774

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Anthony P. Onello, Jr.  
Anthony P. Onello, Jr.  
Registration Number 38,572  
Attorney for Applicants



PATENT  
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Vanessa Marakas  
Vanessa Marakas

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.129(a), or  
(First/Second submission after Final Rejection)
- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application other than a CPA under §1.53(d); or within three months of date of entry of the national stage in international application; or before mailing date of first Office Action on the merits; or before mailing date of first Office Action after filing RCE under §1.114, whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, or
- ☐ the \$180.00 fee under 37 CFR 1.17(p), or  
(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, or other action which closes prosecution, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, and
- ☐ the \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Applicant(s): John J. Hart, III, *et al.*  
Serial No.: 10/773,095

Statement Under 37 1.97(e)

- ☐ Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Enclosed herewith is form PTO-1449:

- ☒ Copies of cited references are enclosed.
- ☐ Copies of cited references are enclosed except those entered in prior application, U.S. Serial No. \_\_\_\_\_, and references that are not required to be submitted under 37 CFR 1.98.
- ☐ The listed references were cited in a counterpart foreign application.

Concise Explanation Requirement (non-English references):

- ☒ The "concise explanation" requirement under 36 CFR 1.98(a)(3)(i) for previously submitted reference AQ and currently submitted reference BO is satisfied by:
  - ☐ the explanation provided on the attached sheet.
  - ☐ the explanation provided in the Specification.
  - ☒ submission of the enclosed English-language translation of Reference "AQ", a document that was previously submitted in a Supplemental Information Disclosure Statement on June 2, 2004, and reference "BO", currently submitted. The English-language translation of this document was recently located and is enclosed herewith (Reference AQ and BO). References AQ and BO are related applications.
  - ☐ abstract.

It is requested the information disclosed herein be made of record in this application.

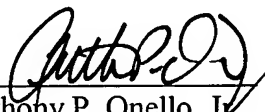
Applicant(s): John J. Hart, III, *et al.*  
Serial No.: 10/773,095

Method of payment:

- ☐ Enclosed is a check in the amount of \_\_\_\_\_.
- ☐ Please charge Deposit Account of 50-1798 in the amount of \_\_\_\_\_.
- ☐ Two duplicate copies of this Statement are enclosed.
- ☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account No. 50-1798.

Respectfully submitted,

Date: October 4, 2004  
Mills & Onello, LLP  
Eleven Beacon Street, Suite 605  
Boston, Massachusetts 02108  
Telephone: (617) 994-4900  
Facsimile: (617) 742-7774  
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Anthony P. Onello, Jr.  
Registration Number 38,572  
Attorney for Applicants

Subst. Form PTO-1449

Docket Number (Optional)

Application Number

ECD-0004CIP

10/773,095

Applicant

John J. Hart, III, *et al.*

Filing Date

02/05/04

Group Art Unit

2655


 INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

OCT 12 2004

(Use several sheets if necessary)

## U. S. Patent Documents

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,104,679	08/15/00	Sollish	369	33	10/01/98
	AB	6,311,305	10/30/01	Sollish, <i>et al.</i>	714	784	03/02/98
	AC	2002/0069389	06/06/02	Sollish, <i>et al.</i>	714	758	11/27/01
	AD	6,718,501	04/06/04	Brody, <i>et al.</i>	714	752	
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	AL							
	AM							
	AN							
	AO							
	AP							
	AQ							

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR	
	AS	
	AT	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy with next communication to applicant.

Subst. Form PTO-1449  INFORMATION DISCLOSURE CITATION IN AN APPLICATION  <i>(Use several sheets if necessary)</i>				Docket Number (Optional) <b>ECD-0004CIP</b>		Application Number <b>10/773,095</b>	
				Applicant <b>John J. Hart, III, et al.</b>			
				Filing Date <b>02/05/04</b>		Group Art Unit <b>2655</b>	

U. S. Patent Documents							
EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
BA							
BB							
BC							
BD							
BE							
BF							
BG							
BH							
BI							
BJ							
BK							

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
BL								
BM		WO 03/017202	27/02/03	WO	G06T	1/00	X	
BN		WO 98/08180	26/02/98	WO	G06	K	X	
BO		DE 198 42 392	16/09/98	Germany	G11B	23/28		X
BP		WO 02/082429	17/10/02	WO	G11	B	X	
BQ								

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
	BR	
	BS	
	BT	

EXAMINER	DATE CONSIDERED
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